

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Jeffrey W. Yeo et al.

Appln. No.: 10/775,761

Filed: February 9, 2004

For: IDENTIFYING ENERGY DRIVERS IN AN  
ENERGY MANAGEMENT SYSTEM

Examiner: Tung S. Lau

Art Unit: 2863

Confirmation No. 8720

Attorney Docket No: 6270/136

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

U.S. PATENT DOCUMENTS		
DOCUMENT NUMBER	DATE	NAME
2002/0018545	02/14/2002	Crichlow

FOREIGN PATENT DOCUMENTS		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY

OTHER ART – NON PATENT LITERATURE DOCUMENTS
Enerwise Global Technologies – Enerwise Options Alarming & Notification and Cost Estimation, 2 pages, <a href="http://www.enerwise.com/docs/">http://www.enerwise.com/docs/</a> , Pennsylvania, September 16, 2002.
Data Loggers & Profile Loggers Sub-Metering Systems kWh Meters & Multi-Parameter Meters Energy & Power Quality Analysers Power Factor Correction, MeterRing™2000 Software. Copyright 2001, 3 pages.
Eka Systems, Inc., Reliable wireless sensor and control networks, Product Specification, Energy Insight™, 2 pages, Maryland, August 22, 2002.

Automated Energy News Brief, 2 pages, Oklahoma, © Automated Energy Inc. 2002.
Application Brief, Circadian Information Systems: Circadian Integrates Pi™ With EnterpriseOne™, 6 pages, <a href="http://www.circadianinfosystems.com/">http://www.circadianinfosystems.com/</a> , © 2000 Circadian Information Systems.
RSEnergyMetrix, Technical Data, Rockwell Software, 4 pages, <a href="http://www.software.rockwell.com">www.software.rockwell.com</a> , <a href="http://www.rockwellautomation.com">www.rockwellautomation.com</a> , Wisconsin, © 2002 Rockwell Software Inc.
Allen-Bradley, Your Solution for Power & Energy Management, Rockwell Automation, 9 pages, © 19xx Rockwell International Corporation, January 2000.
AEV Advanced Energy Vision, one page, no date.
Energy Profiler Online™ Energy Insight for Your Most Valuable Customers, 2 pages, ABB Inc., <a href="http://www.abb.com">www.abb.com</a> California, © 2001 ABB Inc.
Engagenetworks, eBilling [Electronic Billing], 2 pages, <a href="http://www.engagenet.com">www.engagenet.com</a> , Wisconsin, © engagenetworks, copyright 2000, 2001.
Lodestar RateExpert® Reduce Cost with Advanced Rate Analysis, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
SAIC, News Release, SAIC's Enerlink Division Announces Latest Generation of BillGen®, Complex Billing Engine, <a href="http://www.saic.com/">http://www.saic.com/</a> , 2 pages, Georgia, May 24 1999.
FAQs for Metrix, What does Metrix do? 9 pages, June 17, 2003.
Meter, monitor, act, real-time decision about your utility purchases, use and costs, Envision™ turn data into decisions, <a href="http://envision.opg.com">http://envision.opg.com</a> , 5 pages, © 2001 Ontario Power Generation Inc. 03/02
Lodestar Financial Management Extension™ v3.5, Accelerate your Cashflow, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
Lodestar BillingExpert® v3.5, If You Can Sell It, We Can Bill It, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
Excelergy® Product Overview, 3 pages, Massachusetts, June 20, 2003.
OntarioPower Generation, Energy Solutions, Envision FAQ, 6 pages, June 20, 2003.
Energetics Media Kit, <a href="http://www.energetics.com.au">www.energetics.com.au</a> , <a href="http://www.envinta.com">www.envinta.com</a> , 20 pages, Australia, USA, UK, Energetics Pty Ltd – March 2003.
Oarsman Corporation, Simple Screen Organization, 2 pages, June 17, 2003.
Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 2 pages, June 20, 2003.

Stark, Energy Information Systems, Products, Monitoring & Targeting software for multi-site organizations, Energy Information using directly collected data, Energy Accounting for analysing information on utility bills and manual meter reading SHM Metering systems & high quality data loggers, 3 pages, June 20, 2003.
Class 3000, POWERLOGIC® Engineered Solutions Billing Module, <a href="http://www.powerlogic.com">http://www.powerlogic.com</a> , 2 pages, Square D, Schneider Electric, Tennessee, ©1999.
Bentek Energy, LLC Launch ETA, RateMiner Energy Tariff, Solutions, 8 pages, June 17, 2003.
Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
Silicon Energy, when it really matters..., Rate Engine, 1 page, June 16, 2003.
Stark, Energy Information Systems, Products, Audit Trail, 2 pages, June 20, 2003.
Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
Silicon Energy, when it really matters..., Cost Analyst, 1 page, June 16, 2003.

Applicants are enclosing Form PTO-1449 (three sheets) along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.


By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other

information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

The Applicants have calculated no fee to be due in connection with the filing of this Information Disclosure Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Information Disclosure Statement to a deposit account, as authorized in the Transmittal accompanying this Information Disclosure Statement.

Respectfully submitted,

September 12, 2007  
Date

  
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Douglas A. Oguss  
Reg. No. 48,469

FORM PTO-1449	SERIAL NO. 10/775,761	CASE NO. 6270/136
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE February 9, 2004	GROUP ART UNIT 2863
(use several sheets if necessary)		APPLICANT(S): Jeffrey W. Yeo, et al.

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	2002/0018545	02/14/2002	Crichlow	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
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**OTHER ART – NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIAL	<small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	D2	Enerwise Global Technologies – Enerwise Options Alarming & Notification and Cost Estimation, 2 pages, <a href="http://www.enerwise.com/docs/">http://www.enerwise.com/docs/</a> , Pennsylvania, September 16, 2002.
	D3	Data Loggers & Profile Loggers Sub-Metering Systems kWh Meters & Multi-Parameter Meters Energy & Power Quality Analysers Power Factor Correction, MeterRing™2000 Software. Copyright 2001, 3 pages.
	D4	Eka Systems, Inc., Reliable wireless sensor and control networks, Product Specification, Energy Insight™, 2 pages, Maryland, August 22, 2002.
	D5	Automated Energy News Brief, 2 pages, Oklahoma, © Automated Energy Inc. 2002.
	D6	Application Brief, Circadian Information Systems: Circadian Integrates Pi™ With EnterpriseOne™, 6 pages, <a href="http://www.circadianinfosystems.com/">http://www.circadianinfosystems.com/</a> , © 2000 Circadian Information Systems.
	D7	RSEnergyMetrix, Technical Data, Rockwell Software, 4 pages, <a href="http://www.software.rockwell.com">www.software.rockwell.com</a> , <a href="http://www.rockwellautomation.com">www.rockwellautomation.com</a> , Wisconsin, © 2002 Rockwell Software Inc.
	D8	Allen-Bradley, Your Solution for Power & Energy Management, Rockwell Automation, 9 pages, © 19xx Rockwell International Corporation, January 2000.
	D9	AEV Advanced Energy Vision, one page, no date.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	D10	Energy Profiler Online™ Energy Insight for Your Most Valuable Customers, 2 pages, ABB Inc., <a href="http://www.abb.com">www.abb.com</a> California, © 2001 ABB Inc.
	D11	Engagenetworks, eBilling [Electronic Billing], 2 pages, <a href="http://www.engagenet.com">www.engagenet.com</a> , Wisconsin, © engagenetworks, copyright 2000, 2001.
	D12	Lodestar RateExpert® Reduce Cost with Advanced Rate Analysis, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
	D13	SAIC, News Release, SAIC's Enerlink Division Announces Latest Generation of BillGen®, Complex Billing Engine, <a href="http://www.saic.com/">http://www.saic.com/</a> , 2 pages, Georgia, May 24 1999.
	D14	FAQs for Metrix, What does Metrix do? 9 pages, June 17, 2003.
	D15	Meter, monitor, act, real-time decision about your utility purchases, use and costs, Envision™ turn data into decisions, <a href="http://envision.opg.com">http://envision.opg.com</a> , 14 pages, © 2001 Ontario Power Generation Inc. 03/02
	D16	Lodestar Financial Management Extension™ v3.5, Accelerate your Cashflow, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
	D17	Lodestar BillingExpert® v3.5, If You Can Sell It, We Can Bill It, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
	D18	Excelergy® Product Overview, 3 pages, Massachusetts, June 20, 2003.
	D19	OntarioPower Generation, Energy Solutions, Envision FAQ, 6 pages, June 20, 2003.
	D20	Energetics Media Kit, <a href="http://www.energetics.com.au">www.energetics.com.au</a> , <a href="http://www.envinta.com">www.envinta.com</a> , 20 pages, Australia, USA, UK, Energetics Pty Ltd – March 2003.
	D21	Oarsman Corporation, Simple Screen Organization, 2 pages, June 17, 2003.
	D22	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 2 pages, June 20, 2003.

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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	D23	Stark, Energy Information Systems, Products, Monitoring & Targeting software for multi-site organizations, Energy Information using directly collected data, Energy Accounting for analysing information on utility bills and manual meter reading SHM Metering systems & high quality data loggers, 3 pages, June 20, 2003.
	D24	Class 3000, POWERLOGIC® Engineered Solutions Billing Module, <a href="http://www.powerlogic.com">http://www.powerlogic.com</a> , 2 pages, Square D, Schneider Electric, Tennessee, ©1999.
	D25	Bentek Energy, LLC Launch ETA, RateMiner Energy Tariff, Solutions, 8 pages, June 17, 2003.
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	D27	Silicon Energy, when it really matters..., Rate Engine, 1 page, June 16, 2003.
	D28	Stark, Energy Information Systems, Products, Audit Trail, 2 pages, June 20, 2003.
	D29	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
	D30	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
	D31	Silicon Energy, when it really matters..., Cost Analyst, 1 page, June 16, 2003.

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